



Ontario
Securities
Commission

Commission des
valeurs mobilières
de l'Ontario

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**IN THE MATTER OF
DOUGLAS JOHN ELEY**

Wendy Berman, Vice-Chair and Chair of the Panel
Raymond Kindiak, Commissioner
Craig Hayman, Commissioner

File No. 2020-35

March 5, 2021

ORDER

(Sections 8 and 21.7 of the
Securities Act, RSO 1990, c S.5)

WHEREAS on January 14 and 15, 2021, the Ontario Securities Commission held a hearing by videoconference to consider the application brought by Douglas John Eley (**Eley**) (the **Application**) to review decisions of the Investment Industry Regulatory Organization of Canada (**IIROC**) dated January 28, 2020 and October 6, 2020, respectively (the **IIROC Decisions**);

WHEREAS on November 16, 2020, the Commission issued an order staying the IIROC Decisions pending the disposition of the Application or further order of the Commission (the **Stay Order**);

ON READING the materials filed by the parties and on hearing the submissions of the representatives for Eley, Staff of IIROC and Staff of the Commission;

IT IS ORDERED, for reasons to follow, that:

1. the Application is dismissed; and
2. the Stay Order shall remain in effect for 10 days following the date of this Order.

"Wendy Berman"

Wendy Berman

"Raymond Kindiak"

Raymond Kindiak

"Craig Hayman"

Craig Hayman