



Ontario  
Securities  
Commission

Commission des  
valeurs mobilières  
de l'Ontario

22nd Floor  
20 Queen Street West  
Toronto ON M5H 3S8

22e étage  
20, rue queen ouest  
Toronto ON M5H 3S8

---

**IN THE MATTER OF  
ALVIN JONES**

M. Cecilia Williams, Commissioner and Chair of the Panel

File No. 2021-5

March 11, 2021

**ORDER**

WHEREAS on March 11, 2021, the Ontario Securities Commission held a hearing by teleconference in relation to the application brought by Alvin Jones (**Jones**) (the **Application**) to review a decision of the Investment Industry Regulatory Organization of Canada (**IIROC**) dated December 10, 2020;

ON READING the Application and on hearing the submissions of the representatives for Jones, Staff of the IIROC and Staff of the Commission;

IT IS ORDERED THAT:

1. Jones shall serve and file the record of the original proceeding by 4:30 p.m. on April 13, 2021;
2. by 4:30 p.m. on April 23, 2021:
  - a. the parties shall give notice of any intention to rely on documents or things not included in the record of the original proceeding, and shall disclose such documents or things; and
  - b. the parties shall serve and file witness lists, and serve summaries of the anticipated evidence of any witnesses, and give notice of any intention to call an expert witness; and
3. a further attendance in this proceeding is scheduled for April 28, 2021 at 10:00 a.m., by teleconference, or on such other dates or times as may be agreed to by the parties and set by the Office of the Secretary.

*"M. Cecilia Williams"*

---

M. Cecilia Williams