

Ontario Securities Commission Commission des valeurs mobilières de l'Ontario 22nd Floor 20 Queen Street West Toronto ON M5H 3S8 22e étage 20, rue queen ouest Toronto ON M5H 3S8

#### IN THE MATTER OF TREVOR ROSBOROUGH

M. Cecilia Williams, Commissioner and Chair of the Panel

File No. 2021-30

October 8, 2021

## ORDER

(Section 144 of the Securities Act, RSO 1990, c S.5)

WHEREAS the Ontario Securities Commission (the **Commission**) held a hearing in writing to consider an Application made by Trevor Rosborough (**Rosborough**), dated October 4, 2021 to vary the terms of an order issued by the Commission on August 25, 2021 (the **Order of August 25, 2021**) relating to a settlement agreement between Rosborough and Staff of the Commission (**Staff**) dated July 28, 2021;

ON READING the Application Record, including the Affidavit of Rosborough affirmed October 4, 2021, and Rosborough's Undertaking to the Commission dated October 4, 2021, attached as Schedule "A" to this Order, and on being advised that Staff consents to the making of this order;

IT IS ORDERED THAT:

- 1. pursuant to s. 5.1 of the *Statutory Powers Procedure Act*, RSO 1990, c S.22 and Rule 23 of the Commission's *Rules of Procedure and Forms*, (2019) 42 OSCB 9714 this Application is heard in writing; and
- 2. pursuant to s. 144(1) of the *Securities Act*, RSO 1990, c S.5, notwithstanding any of the provisions contained in the Order of August 25, 2021, within 30 days from the date of this Order, Rosborough is permitted to initiate and complete the transfer of the securities presently being held in his TD Direct Investing Trading account (USD and CAD), as listed on Schedule "A" to the Undertaking, to his wife Kayla Paige Baines, and Rosborough may provide any necessary direction to complete these transfers.

"M. Cecilia Williams"

M. Cecilia Williams

## Schedule "A"

#### UNDERTAKING OF TREVOR ROSBOROUGH TO THE ONTARIO SECURITIES COMMISSION

1. This Undertaking is given in connection with my Application, dated October 4, 2021, to vary the terms of an order issued by the Ontario Securities Commission (Commission) on August 25, 2021 relating to a settlement agreement between Staff of the Commission and I dated July 28, 2021.

2. In the event the Commission grants the variance sought in my Application, I undertake to the Commission that:

- (a) the securities presently being held in my TD Direct Investing Trading account (USD and CAD), as listed on Schedule "A" to this Undertaking (the **Securities**), will be transferred to an account opened only in the name of my wife, Kayla Paige Baines, over which she exercises sole authority and control; and
- after the transfers are complete, I will not trade or engage in any acts in (b) furtherance of trading the Securities, including but not limited to indirectly trading the Securities through my wife.

**DATED** at Strathroy, Ontario this 4th day of October, 2021.

"Valerie Linker-Arruda" "Trevor Rosborough" Witness (print name): Valerie Linker-Arruda

**TREVOR ROSBOROUGH** 

# Schedule "A" to the Undertaking

Symbol	Security Name	Quantity
GLXY	GALAXY DIGITAL HLDGS LTD	7,078
GLDN	GOLDEN RIDGE RES LTD	9,900
ID	IDENTILLECT TECHNOLOGIES	948,000
JWCA.H	JAMES E. WAGNER CULTIVATIN	14,500
SAYFF	3 SIXTY RISK SOLUTIONS LT	282,085
TTT	TRUTRACE TECHNOLOGIES INC	43,947
MARA	MARATHON DIGITAL HLDG INC	550
SOS	SOS LTD SPON/ADR	810