

Q2 Fiscal 2022-2023



Fiscal 2022 - 2023 (July 1 to September 30, 2022)

APPLICATIONS COMMENCED BY TYPE ¹	Q1	Q2	Fiscal 2021-22 ²
Enforcement Proceeding	3 (5) ³	3 (3)	17
Authorization to Disclose	2 (3)	1 (1)	6
Extension of Temporary Order	0 (2)	0 (0)	3
Hearing and Review	0 (1)	1 (0)	2
Further Decision/Revocation/Variation of a Decision	0 (0)	0 (0)	1
Transactional Proceeding	0 (0)	0 (0)	1
Other	0 (2)	0 (1)	3
TOTAL	- 62% 5 (13)	5 (5)	33

APPLICATIONS CLOSED BY TYPE⁴	Q1	Q2	Fiscal 2021-22
Enforcement Proceeding	5 (1)	5 (2)	7
Authorization to Disclose	0 (3)	1 (1)	7
Extension of Temporary Order	1 (1)	0 (0)	1
Hearing and Review	1 (0)	0 (2)	5
Further Decision/Revocation/Variation of a Decision	1 (1)	0 (0)	2
Transactional Proceeding	1 0)	0 (0)	0
Other	0 (0)	1 (1)	1
TOTAL	1 50% 9 (6)	17% 🛖 7 (6)	23

ACTIVE APPLICATIONS AT QUARTER-END ⁵	Q1	Q2	
	↓ -3% 34 (35)	- - 6% 32 (34)	

ORAL HEARINGS SCHEDULED BY TYPE THAT PROCEEDED ⁶	Q1	Q2	Fiscal 2021-22
Enforcement Proceeding	25 (36)	23 (20)	85
Authorization to Disclose	1 (0)	1 (0)	0
Extension of Temporary Order	1 (3)	2 (2)	14
Hearing and Review	2 (5)	1 (5)	12
Further Decision/Revocation/Variation of a Decision	0 (1)	0 (1)	2
Transactional Proceeding	0 (0)	0 (0)	2
Other	0 (0)	0 (2)	6
TOTAL	- 36% 29 (45)	₹ 10% 27 (30)	121

¹ An application is commenced when it is filed with the Registrar.

² Reflects the sum of Q1 to Q4 in fiscal 2021-22.

³ Numbers in parentheses correspond to the same quarter of the year prior, fiscal 2021-2022.

⁴ An application is closed when a final order or reasons, if any, are issued, or an application is abandoned or withdrawn.

⁵ The number of applications that are open or ongoing at quarter-end.

⁶ The number of oral hearings scheduled that proceeded.

Fiscal 2022 - 2023 (July 1 to September 30, 2022)

WRITTEN HEARINGS SCHEDULED BY TYPE ⁷	Q1	Q2	Fiscal 2021-22
Enforcement Proceeding	5 (2)	10 (4)	14
Authorization to Disclose	1 (3)	3 (1)	7
Extension of Temporary Order	2 (1)	3 (2)	10
Hearing and Review	0 (1)	0 (0)	2
Further Decision/Revocation/Variation of a Decision	0 (0)	0 (0)	1
Transactional Proceeding	0 (0)	0 (0)	1
Other	0 (0)	1 (0)	1
TOTAL	14% 8 (7)	1 43% 17 (7)	36

	I	I		
CALENDAR DAYS SCHEDULED FOR ORAL HEARINGS ⁸	Q1	Q2	Fiscal 2021-22	
	- -42% 21 (36)	22 (22)	96	
ORDERS ISSUED ⁹	Q1	Q2	Fiscal 2021-22	
	1 6% 33 (31) 1 62% 34 (21)		109	
REASONS ISSUED ¹⁰	Q1	Q2	Fiscal 2021-22	
	1 100% 14 (7)	1 29% 9 (7)	26	

AGING OF ACTIVE APPLICATIONS AT QUARTER-END BY TYPE					
	0-3 Months	3-6 Months	6-9 Months	9-12 Months	Over 12 Months
Enforcement Proceedings	2	2	4	1	12
Authorization to Disclose	1	1	0	0	0
Extension of a Temporary Order	0	0	0	1	4
Hearing and Review	1	0	1	0	1
Further Decision/Revocation/Variation of a Decision	0	0	0	0	0
Transactional Proceeding	0	0	0	0	0
Other	0	0	0	0	1
TOTAL APPLICATIONS – 32	13% ¹¹ - 4	9% -3	16% - 5	6% - 2	56% - 18
AVERAGE DAYS OLD ¹²	43	124	199	317	845

⁷ The number of written hearings scheduled that proceeded.

⁸ A calendar day is a day on which at least one oral hearing was scheduled that proceeded. Multiple hearings may occur on the same calendar day.

⁹ The number of orders issued by the Tribunal.

¹⁰ The number of reasons issued by the Tribunal.

¹¹ Reflects the percentage of applications in the time range of the total applications.

¹² Reflects the average number of days old of the applications in the time range.

Fiscal 2022 - 2023 (July 1 to September 30, 2022)

Contact Information

Registrar, Governance & Tribunal Secretariat Ontario Securities Commission

20 Queen Street West 22nd Floor Toronto, ON M5H 3S8

416-595-8916 registrar@osc.gov.on.ca