



Capital  
Markets  
Tribunal

Tribunal des  
marchés  
financiers

22nd Floor  
20 Queen Street West  
Toronto ON M5H 3S8

22e étage  
20, rue Queen ouest  
Toronto ON M5H 3S8

---

**IN THE MATTER OF  
TREVOR ROSBOROUGH, TAYLOR CARR and DMITRI GRAHAM**

File No. 2020-33

**Adjudicators:** Timothy Moseley (chair of the panel)  
Cathy Singer  
James Douglas

January 10, 2023

**ORDER**

(Subsection 127(1) and section 127.1 of the *Securities Act*, RSO 1990, c S.5)

WHEREAS on October 7, 2022, the Capital Markets Tribunal held a hearing by videoconference to consider the sanctions and costs that the Tribunal should impose on Taylor Carr and Dmitri Graham as a result of the findings in the Reasons and Decision on the merits, issued on May 25, 2022;

ON READING the materials filed by the parties, and on hearing the submissions of the representatives for Staff of the Ontario Securities Commission and for Carr, no one appearing on behalf of Graham;

IT IS ORDERED THAT:

1. pursuant to paragraph 9 of subsection 127(1) of the Act:
  - a. Carr shall pay an administrative penalty of \$15,000; and
  - b. Graham shall pay an administrative penalty of \$40,000;
2. pursuant to paragraph 10 of subsection 127(1) of the Act, Carr shall disgorge to the Commission the amount of \$1,215.03;
3. pursuant to paragraphs 2 and 2.1 of subsection 127(1) of the Act, Carr and Graham shall be prohibited from trading in any securities or derivatives, and from acquiring any securities,
  - a. Carr for a period of three years; and
  - b. Graham for a period of five years;

4. pursuant to paragraphs 7, 8, 8.1 and 8.2 of subsection 127(1) the Act, Carr and Graham shall be required to resign any positions they hold as directors or officers of any issuers or registrants, and shall be prohibited from becoming or acting as directors or officers of any issuer or registrant,
  - a. Carr for a period of three years; and
  - b. Graham for a period of five years;
5. pursuant to paragraph 8.5 of subsection 127(1) of the Act, Carr and Graham shall be prohibited from becoming or acting as registrants or promoters,
  - a. Carr for a period of three years; and
  - b. Graham for a period of five years; and
6. pursuant to section 127.1 of the Act:
  - a. Carr shall pay to the Commission \$5,000 for the costs of the investigation and proceeding; and
  - b. Graham shall pay to the Commission \$15,000 for the costs of the investigation and proceeding.

*"Timothy Moseley"*

---

Timothy Moseley

*"Cathy Singer"*

---

Cathy Singer

*"James Douglas"*

---

James Douglas