



Capital
Markets
Tribunal

Tribunal des
marchés
financiers

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Toronto ON M5H 3S8

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**IN THE MATTER OF
MARK ODORICO**

File No. 2022-18

Adjudicators: Andrea Burke (chair of the panel)
Sandra Blake
Cathy Singer

March 1, 2023

ORDER

(Rule 22(4) of the *Capital Markets Tribunal Rules of Procedure and Forms*)

WHEREAS on November 25, 2022, the Capital Markets Tribunal held a hearing by videoconference to consider a motion by Mark Odorico for a stay of decisions of the Investment Industry Regulatory Organization of Canada (**IIROC**) dated April 7, 2022 and August 15, 2022 pending the disposition of his application for a hearing and review of those decisions;

AND WHEREAS a portion of the hearing proceeded on a confidential basis at the request of Odorico, with the issue of what portion, if any, of the corresponding hearing transcript would be kept confidential subject to further order of the Tribunal after receiving submissions in writing from the parties;

ON HEARING the submissions of Odorico and of the representative of Staff of the New Self-Regulatory Organization of Canada (formerly IIROC) (**New SRO**) and of Staff of the Ontario Securities Commission, and on reading the materials filed by the parties;

IT IS ORDERED that:

1. the transcript of the confidential portion of the November 25, 2022, hearing is to be made public, with the following redactions made:
 - a. the words after "with" on line 25, page 8;
 - b. the words between "hospital" on line 2, page 10 and "These" on line 5, page

- 10;
- c. the words between "to" on line 7, page 10 and "It's" on line 8, page 10;
 - d. the words after "that" on line 13, page 10 through to the end of line 14, page 10;
 - e. the word after "I'm" on line 5, page 21;
 - f. the words between "and" on line 6, page 21 and "riding" on line 7, page 21;
 - g. the words between "know" on line 18, page 21 and "you know" on line 20, page 21;
 - h. the words between "absence" on line 26, page 21 and "but" on line 27, page 21;
 - i. the words between "Odorico" on line 7, page 23 and "Sincerely" on line 14, page 23;
 - j. the words between "just" and "but" on line 21, page 23;
 - k. the word between "of" and "at" on line 22, page 23;
 - l. the words between "suffering" on line 22, page 24 and "do you" on line 23, page 24;
 - m. the words between "because" on line 22, page 25 and "that had" on line 23, page 25;
 - n. the words between "my house" on line 25, page 25 and "everything" on line 26, page 25;
 - o. the words between "saying that" on line 2, page 26 and "I can't" on line 3, page 26; and
 - p. the words between "I've got" and "I'm under" on line 16, page 28; and
2. only the redacted version of the transcript of the confidential portion of the hearing shall be available to the public.

"Andrea Burke"

Andrea Burke

"Sandra Blake"

Sandra Blake

"Cathy Singer"

Cathy Singer