



Capital  
Markets  
Tribunal

Tribunal des  
marchés  
financiers

22nd Floor  
20 Queen Street West  
Toronto ON M5H 3S8

22e étage  
20, rue Queen ouest  
Toronto ON M5H 3S8

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**IN THE MATTER OF  
NVEST CANADA INC., GX TECHNOLOGY GROUP INC.,  
SHORUPAN PIRAKASPATHY and WARREN CARSON**

File No. 2023-1

**Adjudicator:** James Douglas

April 21, 2023

**ORDER**

WHEREAS on April 21, 2023, the Capital Markets Tribunal held a hearing by videoconference;

ON HEARING the submissions of the representative for Staff of the Commission (**Staff**) and no one appearing for Nvest Canada Inc., GX Technology Group Inc., Shorupan Pirakaspathy, or Warren Carson;

IT IS ORDERED that:

1. the merits hearing and the sanctions and cost hearing in this proceeding shall be heard together, pursuant to Rule 3 and Subrule 35(1) of the *Capital Markets Tribunal Rules of Procedure and Forms*;
2. by 4:30 p.m. on September 18, 2023, the parties shall provide to the Registrar a completed copy of the *E-hearing Checklist*;
3. by 4:30 p.m. on October 18, 2023, Staff shall:
  - a. provide to the Registrar the electronic documents that Staff intends to rely on or enter into evidence at the merits and sanctions and costs hearing, along with an index file containing hyperlinks to the documents in the hearing brief, in accordance with the *Protocol for E-hearings*; and
  - b. file any affidavit evidence for the merits and sanctions and costs hearing;
4. the merits and sanctions and costs hearing shall take place at 20 Queen Street West, 17<sup>th</sup> Floor, Toronto, Ontario and commence on October 25, 2023 at 10:00 a.m., and continue on October 26, 27, and November 6, 7, and December 11,

2023 at 10:00 a.m. on each day, or on such other dates and times as may be agreed to by Staff and set by the Governance & Tribunal Secretariat;

5. by 4:30 p.m. on December 1, 2023, Staff shall serve and file written submissions regarding the merits and sanctions and costs; and
6. a further attendance in this proceeding is scheduled for September 25, 2023 at 10:00 a.m., by videoconference, or on such other date and time as may be agreed to by Staff and set by the Governance & Tribunal Secretariat.

*"James Douglas"*

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James Douglas