



Capital
Markets
Tribunal

Tribunal des
marchés
financiers

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Toronto ON M5H 3S8

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**IN THE MATTER OF
MARK ODORICO**

File No. 2022-18

Adjudicators: Andrea Burke (chair of the panel)
Sandra Blake
Dale R. Ponder

October 13, 2023

ORDER

(Rule 22(4) of the *Capital Markets Tribunal Rules of Procedure and Forms*)

WHEREAS on March 7 and July 18, 2023, the Capital Markets Tribunal held a hearing by videoconference to consider an application by Mark Odorico for review of two decisions of the Canadian Investment Regulatory Organization (**CIRO**) dated April 7, 2022 and August 15, 2022;

AND WHEREAS a portion of the hearing on March 7, 2023 proceeded on a confidential basis at the request of Odorico, with the issue of what portion, if any, of the corresponding hearing transcript would be kept confidential subject to further order of the Tribunal after receiving submissions in writing from the parties;

ON READING the materials filed by the parties, and on hearing the submissions of Odorico, and the submissions of the representative of Staff of CIRO and of Staff of the Ontario Securities Commission;

IT IS ORDERED THAT:

1. the transcript of the confidential portion of the March 7, 2023 hearing is to be made public, with the following redactions made:
 - a. the words before "I'm still dealing with" on line 21, page 4;
 - b. the words between "aside from" on line 3, page 5 to the end of line 5, page 5;
 - c. the word between "know" and "or doctor" on line 2, page 7;
 - d. the words between "Due to my" and "okay" on line 13, page. 8;
 - e. the word between "chief" and "there" on line 25, page 8;

- f. the words after "doctor" on line 4, page 11 to the end of line 8, page 11;
- g. the words after "life" on line 11, page 11 to the end of line 12, page 11;
- h. the words between "fake" on line 16, page 11 and "I mean" on line 18, page 11;
- i. the words after "things" on line 19, page 11 to the end of line 19, page 11;
- j. the words between "cut out" on line 22, page 11 and "Can you" on line 24, p. 11;
- k. the words after "Odorico" on line 25, page 11 through to the end of line 1, page 12;
- l. the words between "I had" and "so" on line 4, page 13;
- m. the word between "not" and "to realize" on line 11, page 13;
- n. the words on line 19, page 13;
- o. the first word on line 20, page 13;
- p. the words between "I had" and "they" on line 11, page 16;
- q. the words between "your" and "prevented" on line 19, page 16;
- r. the words after "realize what" on line 19, page 17 to the end of line 19;
- s. the words on lines 21 and 22, page 17;
- t. the words between "on" on line 24, page 17 and "I didn't" on line 26, page 17;
- u. the words on line 9, page 18;
- v. the words after "to me" on line 16, page 18 to the end of line 17, page 18;
- w. the words after "warning" on line 27, page 18 to the end of line 27, page 18; and
- x. the entry on page three of the word index to the transcript between "seriousness" and "session".

"Andrea Burke"

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"Sandra Blake"

Sandra Blake

"Dale R. Ponder"

Dale R. Ponder