

Capital Markets Tribunal Tribunal des marchés financiers

22nd Floor 20 Queen Street West Toronto ON M5H 3S8 22e étage 20, rue Queen ouest Toronto ON M5H 3S8

## IN THE MATTER OF KENTON ROY RUSTULKA

File No. 2023-9

**Adjudicator**: James D. G. Douglas

October 27, 2023

## **ORDER**

(Subsections 127(1) and 127(10) of the Securities Act, RSO 1990, c S.5)

WHEREAS, the Capital Markets Tribunal held a hearing in writing, to consider a request by Staff of the Commission (**Staff**) for an order imposing sanctions against Kenton Roy Rustulka pursuant to subsections 127(1) and 127(10) of the *Securities Act*, RSO 1990, c S.5 (the **Act**);

ON READING the materials filed by Staff, Rustulka not filing any materials, although properly served;

## IT IS ORDERED THAT:

- 1. pursuant to paragraph 2 of subsection 127(1) of the Act, trading in any securities or derivatives by Rustulka cease permanently;
- 2. pursuant to paragraph 2.1 of subsection 127(1) of the Act, the acquisition of any securities by Rustulka is prohibited permanently;
- 3. pursuant to paragraph 3 of subsection 127(1) of the Act, any exemptions contained in Ontario securities law do not apply to Rustulka permanently;
- 4. pursuant to paragraphs 7, 8.1 and 8.3 of subsection 127(1) of the Act, Rustulka resign any positions he holds as a director or officer of an issuer or registrant, including as an investment fund manager;
- 5. pursuant to paragraphs 8, 8.2 and 8.4 of subsection 127(1) of the Act, Rustulka is prohibited permanently from becoming or acting as a director or officer of any

issuer or registrant, including as an investment fund manager; and

6. pursuant to paragraph 8.5 of subsection 127(1), Rustulka is prohibited permanently from becoming or acting as a registrant, including as an investment fund manager or promoter.

"James D. G. Douglas"

James D. G. Douglas