



Capital
Markets
Tribunal

Tribunal des
marchés
financiers

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20 Queen Street West
Toronto ON M5H 3S8

22e étage
20, rue Queen ouest
Toronto ON M5H 3S8

**IN THE MATTER OF
THOMAS JOHN FINCH**

File No. 2023-29

Adjudicator: Timothy Moseley (chair of the panel)
Jane Waechter

November 16, 2023

ORDER

WHEREAS on November 16, 2023, the Capital Markets Tribunal held a hearing by videoconference;

ON HEARING the submissions of the representatives for Staff of the Ontario Securities Commission (**Staff**) and for Thomas John Finch;

IT IS ORDERED THAT:

1. by 4:30 p.m. on February 23, 2024, the respondent shall serve and file a motion, if any, regarding Staff's disclosure or seeking disclosure of additional documents;
2. by 4:30 p.m. on February 27, 2024, Staff shall:
 - a. serve and file a witness list,
 - b. serve a summary of each witness's anticipated evidence on the respondent, and
 - c. indicate any intention to call an expert witness, including providing the expert's name and the issues on which the expert will give evidence; and
3. a further attendance in this matter is scheduled for March 6, 2024, at 10:00 a.m., by videoconference, or on such other date and time as may be agreed to by the parties and set by the Governance & Tribunal Secretariat.

"Timothy Moseley"

Timothy Moseley

"Jane Waechter"

Jane Waechter