**ONTARIO SECURITIES COMMISSION**

**Applicant**

**– and -**

**NAME(S) OF RESPONDENT(S)**

**Respondent(s)**

**APPLICATION FOR ENFORCEMENT PROCEEDING**

[Include relevant statutory provision(s) or rule(s)]

### A. OVERVIEW

1. [Set out an overview of the allegations]

**B. GROUNDS**

The Ontario Securities Commission makes the following allegations of fact:

1. [Set out each allegation of material fact relied on to substantiate the alleged breaches of Ontario securities or commodity futures law and/or other conduct justifying an order under s. 127(1) of the Securities Act]

**C. BREACH(ES) [AND OTHER BASES FOR THE ORDER SOUGHT]**

The Commission alleges the following breach(es) of Ontario securities [*and/or commodity futures*] law [and/or other reasons it would be in the public interest to grant the order sought]:

1. [Set out each provision of Ontario securities or commodity futures law alleged to have been breached and/or other reasons it would be in the public interest to grant the order sought]

**D. ORDER SOUGHT**

The Commission requests that the Tribunal make the following order(s):

1. [Set out the order(s) sought, including sanctions and costs]

|  |  |
| --- | --- |
| [Date] | [Name, address, email and telephone number of counsel for the Commission] |