



Capital
Markets
Tribunal

Tribunal des
marchés
financiers

22nd Floor
20 Queen Street West
Toronto ON M5H 3S8

22e étage
20, rue Queen ouest
Toronto ON M5H 3S8

**IN THE MATTER OF
THOMAS JOHN FINCH**

File No. 2023-29

Adjudicator: Jane Waechter (chair of the panel)
Timothy Moseley

May 8, 2024

ORDER

WHEREAS on May 6, 2024, the Capital Markets Tribunal held a hearing by videoconference;

ON HEARING the submissions of the representatives for the Ontario Securities Commission and for Thomas John Finch;

IT IS ORDERED THAT:

1. by 4:30 p.m. on December 11, 2024:
 - a. each party shall serve the other party with a book of documents containing copies of the documents, and identifying the other things, that the party intends to produce or enter as evidence at the merits hearing;
 - b. each party shall advise all other parties of any issues about the authenticity or admissibility of documents contained in the books of documents;
 - c. each party shall provide to the Registrar a completed copy of the Hearing Participant Checklist; and
 - d. the Commission shall serve on the respondent a sworn affidavit of its witness Yu Chen;
2. a further hearing in this matter is scheduled for January 10, 2025, at 10:00 a.m., by videoconference, or as may be agreed to by the parties and set by the Governance & Tribunal Secretariat; and
3. the merits hearing shall take place on February 19, 2025, at 10:00 a.m., at the Capital Markets Tribunal located at 20 Queen Street West, 17th Floor, Toronto, Ontario, and continue on February 20, 21 and 24, 2025, commencing at 10:00 a.m. on each day, or as may be agreed to by the parties and set by the

Governance & Tribunal Secretariat.

"Jane Waechter"

Jane Waechter

"Timothy Moseley"

Timothy Moseley