**THE *SECURITIES ACT,* RSO 1990, c S.5**

**BETWEEN:**

**NAME(S) OF APPLICANT(S)**

select option

**- and -**

**NAME(S) OF RESPONDENT(S) [use existing title of proceeding]**

select option

File No. [#]

**SUMMONS TO A WITNESS BEFORE**

**THE CAPITAL MARKETS TRIBUNAL**

TO: [FULL NAME AND ADDRESS OF WITNESS]

**YOU ARE REQUIRED TO** select optionat the hearing of this proceeding on [DATE] at [TIME], before the Capital Markets Tribunal, [located at 20 Queen Street West, 17th Floor, Toronto, Ontario OR electronically in the following manner: [set out sufficient particulars to enable witness to participate]], and to remain until your attendance is no longer required.

**YOU ARE REQUIRED TO** produce at the hearing the following documents and things: [Set out the nature and date of each document and give sufficient particulars to identify each document and thing]

### IF YOU FAIL TO [ATTEND OR TO REMAIN IN ATTENDANCE OR PARTICIPATE] AS THIS SUMMONS REQUIRES, THE SUPERIOR COURT OF JUSTICE MAY ORDER THAT A WARRANT FOR YOUR ARREST BE ISSUED, OR THAT YOU BE PUNISHED IN THE SAME WAY AS FOR CONTEMPT OF THAT COURT.

##### Date: CAPITAL MARKETS TRIBUNAL

 On behalf of the Capital Markets Tribunal

NOTE: You are entitled to be paid the same fees or allowances for attending at or otherwise participating in the hearing as are paid to a person summoned to attend before the Superior Court of Justice. **If you have questions, you should contact the party that requested that the Tribunal issue this Summons: [Name, address, email and telephone number of Party requesting that the Tribunal issue the Summons]**.