



Capital  
Markets  
Tribunal

Tribunal  
des marchés  
financiers

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20 Queen Street West  
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20, rue Queen ouest  
Toronto ON M5H 3S8

**FILE NO.: 2025-16**

**BETWEEN:**

**ONTARIO SECURITIES COMMISSION  
(Applicant)**

**- and -**

**HUY LE HUYNH and THI ANH NGUYET PHAM  
(Respondents)**

**NOTICE OF HEARING**

Subsection 127(1) and section 127.1 of the *Securities Act*, RSO 1990, c S.5

**PROCEEDING TYPE:** Public Settlement Hearing

**HEARING DATE AND TIME:** September 26, 2025, at 10:00 a.m.

**LOCATION:** By videoconference

**PURPOSE**

The purpose of this hearing is to consider whether it is in the public interest for the Capital Markets Tribunal to approve the Settlement Agreement dated September 23, 2025, between the Commission, Huy Le Huynh and Thi Anh Nguyet Pham in respect of the application filed by the Commission dated September 23, 2025.

**REPRESENTATION**

Any party to the proceeding may be represented by a representative at the hearing.

**FAILURE TO ATTEND**

**IF A PARTY DOES NOT ATTEND, THE HEARING MAY PROCEED IN THE PARTY'S ABSENCE AND THE PARTY WILL NOT BE ENTITLED TO ANY FURTHER NOTICE IN THE PROCEEDING.**

**FRENCH HEARING**

This Notice of Hearing is also available in French on request of a party. Participation may be in either French or English. Participants must notify the Tribunal in writing as soon as possible if the participant is requesting a proceeding be conducted wholly or partly in French.

**AVIS EN FRANÇAIS**

L'avis d'audience est disponible en français sur demande d'une partie, que la participation à l'audience peut se faire en français ou en anglais et que les participants doivent aviser le Tribunal par écrit dès que possible si le participant demande qu'une instance soit tenue entièrement ou partiellement en français.

Dated at Toronto this 23<sup>rd</sup> day of September, 2025.

Registrar, Governance & Tribunal Secretariat  
Ontario Securities Commission

**For more information**

Please visit [capitalmarketstribunal.ca](https://capitalmarketstribunal.ca) or contact the Registrar at [registrar@capitalmarketstribunal.ca](mailto:registrar@capitalmarketstribunal.ca).