



Capital
Markets
Tribunal

Tribunal des
marchés
financiers

22nd Floor
20 Queen Street West
Toronto ON M5H 3S8

22e étage
20, rue Queen ouest
Toronto ON M5H 3S8

BETWEEN:

ONTARIO SECURITIES COMMISSION

(Applicant)

- and -

BRADLEY J. MOYNES

(Respondent)

File No. 2026-19

Adjudicator: Jane Waechter

April 9, 2026

ORDER

(Subsections 127(1) and 127(4.0.3) of the *Securities Act*, RSO 1990, c S.5)

WHEREAS the Capital Markets Tribunal held a hearing in writing to consider an application brought by the Ontario Securities Commission for an order imposing sanctions against the respondent, Bradley J. Moynes, without giving the respondent an opportunity to be heard, pursuant to subsections 127(1) and 127(4.0.3) of the *Securities Act* (the **Act**);

ON READING the materials filed by the Commission, the Final Judgement of the United States District Court for the District of Massachusetts dated March 8, 2023, and the United States Securities and Exchange Commission Complaint dated June 27, 2022;

IT IS ORDERED THAT:

1. pursuant to paragraphs 2 and 2.1 of subsection 127(1) of the *Act*, Moynes is permanently prohibited from trading in any securities or derivatives, or acquiring any securities, except that he may trade securities or derivatives, and acquire securities, in any Registered Retirement Savings Plan, Registered Education Savings Plan, Registered Retirement Income Fund, or Tax-Free Savings Account (as those terms as defined in the *Income Tax Act*, RSC, 1985, c 1 (5th Supp)), of which Moynes is the sole legal and beneficial owner, through a registered dealer,

to whom he has given a copy of this order;

2. pursuant to paragraph 7 of subsection 127(1) of the *Act*, Moynes shall resign any positions that he holds as a director or officer of any reporting issuer; and
3. pursuant to paragraph 8 of subsection 127(1) of the *Act*, Moynes is prohibited permanently from becoming or acting as a director or officer of any reporting issuer.

"Jane Waechter"

Jane Waechter