

Appendix "A" to the Information of Jeffrey Thomson

1. Between and including January 1, 2006 and March 31, 2009, in the City of Toronto, Toronto Region and elsewhere in the Province of Ontario, Weizhen Tang engaged or participated in an act, practice or course of conduct relating to securities that he knew or reasonably ought to have known perpetrated a fraud on persons or companies to whom he traded securities of Oversea Chinese Fund Limited Partnership, contrary to section 126.1(b) of the *Securities Act* and thereby did commit an offence contrary to section 122(1)(c) of the *Securities Act*.
2. Between and including January 1, 2006 and March 31, 2009, in the City of Toronto, Toronto Region and elsewhere in the Province of Ontario, Weizhen Tang, being a director or officer of Oversea Chinese Fund Limited Partnership, did authorize, permit or acquiesce in Oversea Chinese Fund Limited Partnership engaging or participating in an act, practice or course of conduct relating to securities that Weizhen Tang or Oversea Chinese Fund Limited Partnership knew or reasonably ought to have known perpetrated a fraud on persons or companies to whom Oversea Chinese Fund Limited Partnership traded securities of Oversea Chinese Fund Limited Partnership, contrary to section 126.1(b) of the *Securities Act* and did thereby commit an offence contrary to section 122(3) of the *Securities Act*.
3. Between and including January 1, 2006 and March 31, 2009, in the City of Toronto, Toronto Region and elsewhere in the Province of Ontario, Weizhen Tang, being a director or officer of Weizhen Tang & Associates Inc., did authorize, permit or acquiesce in Weizhen Tang & Associates Inc. engaging or participating in an act, practice or course of conduct relating to securities that Weizhen Tang or Weizhen Tang & Associates Inc. knew or reasonably ought to have known perpetrated a fraud on persons or companies to whom Weizhen Tang & Associates Inc. traded securities of Oversea Chinese Fund Limited Partnership, contrary to section 126.1(b) of the *Securities Act* and did thereby commit an offence contrary to section 122(3) of the *Securities Act*.
4. Between and including January 1, 2006 and March 31, 2009, in the City of Toronto, Toronto Region and elsewhere in the Province of Ontario, Weizhen Tang, Oversea Chinese Fund Limited Partnership and Weizhen Tang & Associates traded in securities of Oversea Chinese Fund Limited Partnership, without being registered to trade in such securities in accordance with section 25(1)(a) of the *Securities Act* and did thereby commit an offence contrary to section 122(1)(c) of the *Securities Act*.
5. Between and including January 1, 2006 and March 31, 2009, in the City of Toronto, Toronto Region and elsewhere in the Province of Ontario, Weizhen Tang, being a director or officer of Oversea Chinese Fund Limited Partnership, did authorize, permit or acquiesce in trades in securities of Oversea Chinese Fund Limited Partnership without Oversea Chinese Fund Limited Partnership being registered to trade in such securities in accordance with section 25(1)(a) of the *Securities Act* and did thereby commit an offence contrary to section 122(3) of the *Securities Act*.

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6. Between and including January 1, 2006 and March 31, 2009, in the City of Toronto, Toronto Region and elsewhere in the Province of Ontario, Weizhen Tang, being a director or officer of Weizhen Tang & Associates Inc., did authorize, permit or acquiesce in trades in securities of Oversea Chinese Fund Limited Partnership without Weizhen Tang & Associates Inc. being registered to trade in such securities in accordance with section 25(1)(a) of the *Securities Act* and did thereby commit an offence contrary to section 122(3) of the *Securities Act*.
7. Between and including January 1, 2006 and March 31, 2009, in the City of Toronto, Toronto Region and elsewhere in the Province of Ontario, Weizhen Tang & Associates did act as an adviser without being registered as an adviser in accordance with section 25(1)(c) of the *Securities Act* and did thereby commit an offence contrary to section 122(1)(c) of the *Securities Act*.
8. Between and including January 1, 2006 and March 31, 2009, in the City of Toronto, Toronto Region and elsewhere in the Province of Ontario, Weizhen Tang, being a director or officer of Weizhen Tang & Associates Inc., did authorize, permit or acquiesce in Weizhen Tang & Associates acting as an adviser without being registered as an adviser in accordance with section 25(1)(c) of the *Securities Act* of the *Securities Act* and did thereby commit an offence contrary to section 122(3) of the *Securities Act*.
9. Between and including January 1, 2006 and March 31, 2009, in the City of Toronto, Toronto Region and elsewhere in the Province of Ontario, Weizhen Tang, Oversea Chinese Fund Limited Partnership and Weizhen Tang & Associates Inc. traded in securities of Oversea Chinese Fund Limited Partnership, where such trading was a distribution of such securities, without having filed a preliminary prospectus and prospectus and obtaining receipts issued for them by the Director, as required by section 53(1) of the *Securities Act* and did thereby commit an offence contrary to section 122(1)(c) of the *Securities Act*.
10. Between and including January 1, 2006 and March 31, 2009, in the City of Toronto, Toronto Region and elsewhere in the Province of Ontario, Weizhen Tang, being a director or officer of Oversea Chinese Fund Limited Partnership, did authorize, permit or acquiesce in trades in securities of Oversea Chinese Fund Limited Partnership by Oversea Chinese Fund Limited Partnership where such trading was a distribution of such securities, without having filed a preliminary prospectus and prospectus and obtaining receipts issued for them by the Director, as required by section 53(1) of the *Securities Act* and did thereby commit an offence contrary to section 122(3) of the *Securities Act*.
11. Between and including January 1, 2006 and March 31, 2009, in the City of Toronto, Toronto Region and elsewhere in the Province of Ontario, Weizhen Tang, being a director or officer of Weizhen Tang & Associates Inc., did authorize, permit or

acquiesce in trades in securities of Oversea Chinese Fund Limited Partnership by Weizhen Tang & Associates Inc. where such trading was a distribution of such securities, without having filed a preliminary prospectus and prospectus and obtaining receipts issued for them by the Director, as required by section 53(1) of the *Securities Act* and did thereby commit an offence contrary to section 122(3) of the *Securities Act*.

12. Between and including January 1, 2006 and March 31, 2009, in the City of Toronto, Toronto Region and elsewhere in the Province of Ontario, Weizhen Tang with the intention of effecting trades in securities of Oversea Chinese Fund Limited Partnership, gave undertakings as to the future value or price of securities of Oversea Chinese Fund Limited Partnership contrary to section 38(2) of the *Securities Act* and did thereby commit an offence contrary to section 122(1)(c) of the *Securities Act*.

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