



Ontario
Securities
Commission

Commission des
valeurs mobilières
de l'Ontario

P.O. Box 55, 19th Floor
20 Queen Street West
Toronto ON M5H 3S8

CP 55, 19^e étage
20, rue queen ouest
Toronto ON M5H 3S8

**IN THE MATTER OF THE *SECURITIES ACT*
R.S.O. 1990, c. S.5, AS AMENDED**

and

**IN THE MATTER OF
AN APPLICATION FOR A HEARING AND REVIEW OF A DECISION
OF A HEARING PANEL OF THE INVESTMENT INDUSTRY REGULATORY
ORGANIZATION OF CANADA**

and

**IN THE MATTER OF
THE UNIVERSAL MARKET INTEGRITY RULES**

and

**IN THE MATTER OF
TD SECURITIES INC., KENNETH NOTT, AIDIN SADEGHI,
CHRISTOPHER KAPLAN, ROBERT NEMY and JAKE POULSTRUP**

**NOTICE OF HEARING
Section 21.7**

TAKE NOTICE that the Ontario Securities Commission will hold a hearing pursuant to section 21.7 of the *Securities Act*, R.S.O. 1990, c. S.5, as amended, to consider the Application made by Staff of the Investment Industry Regulatory Organization of Canada for a review of a decision of a hearing panel of the Investment Industry Regulatory Organization of Canada dated November 30, 2010.

AND TAKE FURTHER NOTICE THAT the hearing will be held on December 12 and 13, 2011 at 10:00 a.m. at the Commission's offices at 20 Queen Street West, 17th Floor Hearing Room.

DATED at Toronto this 19th day of October, 2011.

"John Stevenson"

John Stevenson
Secretary to the Commission