



Ontario  
Securities  
Commission

Commission des  
valeurs mobilières  
de l'Ontario

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**IN THE MATTER OF THE SECURITIES ACT,  
R.S.O. 1990, c. S.5 AS AMENDED**

**- and -**

**IN THE MATTER OF AN APPLICATION FOR A HEARING AND REVIEW OF A  
DECISION OF THE ONTARIO COUNCIL OF THE INVESTMENT INDUSTRY  
REGULATORY ORGANIZATION OF CANADA, PURSUANT TO SECTION 21.7 OF  
THE *SECURITIES ACT*, R.S.O. 1990, c. S.5, AS AMENDED**

**- and -**

**IN THE MATTER OF DISCIPLINE PROCEEDINGS PURSUANT TO THE BY-LAWS  
OF THE INVESTMENT DEALERS ASSOCIATION OF CANADA AND THE DEALER  
MEMBER RULES OF THE INVESTMENT INDUSTRY REGULATORY  
ORGANIZATION OF CANADA**

**BETWEEN**

**STAFF OF THE INVESTMENT INDUSTRY  
REGULATORY ORGANIZATION OF CANADA**

**- and -**

**ROGER CARL SCHOER**

**NOTICE OF HEARING  
Section 21.7**

**TAKE NOTICE THAT** the Ontario Securities Commission will hold a hearing pursuant to section 21.7 of the *Securities Act*, R.S.O. 1990, c S-5, as amended, to consider the Application made by Roger Carl Schoer for a review of a decision of the Investment Industry Regulatory Organization of Canada made May 26, 2011;

**AND TAKE FURTHER NOTICE THAT** the hearing will be held on September 18, 2012 at 10:00 a.m. on the 17th floor of the Commission's offices located at 20 Queen Street West, Toronto.

Dated at Toronto this 24<sup>th</sup> day of July, 2012

*"Daisy G. Aranha"*

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Per: John Stevenson  
Secretary to the Commission