



Ontario  
Securities  
Commission

Commission des  
valeurs mobilières  
de l'Ontario

22<sup>nd</sup> Floor  
20 Queen Street West  
Toronto ON M5H 3S8

22e étage  
20, rue queen ouest  
Toronto ON M5H 3S8

---

**IN THE MATTER OF THE *SECURITIES ACT*  
R.S.O. 1990, c. S.5, AS AMENDED**

**- and -**

**IN THE MATTER OF LUCY MARIE PARIAK-LUKIC**

**- and -**

**IN THE MATTER OF DECISIONS OF A HEARING PANEL OF  
THE INVESTMENT INDUSTRY REGULATORY ORGANIZATION OF CANADA  
DATED JANUARY 2, 2014 and MARCH 6, 2014**

**NOTICE OF HEARING  
(Sections 8 and 21.7 of the *Securities Act*)**

**TAKE NOTICE** that the Ontario Securities Commission (the “Commission”) will hold a hearing (the “Hearing”) pursuant to sections 8 and 21.7 of the Ontario *Securities Act*, R.S.O. 1990, c. S.5, as amended, at the offices of the Commission, at 20 Queen Street West, 17th Floor, Toronto, Ontario, M5H 3S8, commencing on Thursday, January 15, 2015 at 10:00 a.m. or as soon thereafter as the Hearing can be held;

**TO CONSIDER** a request from the Investment Industry Regulatory Organization of Canada (“IIROC”) for a Hearing and Review of the decision on the merits of the Hearing Panel of IIROC dated January 2, 2014 (*In the Matter of Lucy Marie Pariak-Lukic*, 2014 IIROC 01) and the decision on sanctions of the Hearing Panel of IIROC dated March 6, 2014 (*In the Matter of Lucy Marie Pariak-Lukic*, 2014 IIROC 11).

Dated at Toronto this 16<sup>th</sup> day of July, 2014.

*“Josée Turcotte”*

---

Josée Turcotte  
Acting Secretary to the Commission