



Ontario
Securities
Commission

Commission des
valeurs mobilières
de l'Ontario

22nd Floor
20 Queen Street West
Toronto ON M5H 3S8

22e étage
20, rue queen ouest
Toronto ON M5H 3S8

**IN THE MATTER OF THE *SECURITIES ACT*
R.S.O. 1990, c. S.5, AS AMENDED**

- and -

**IN THE MATTER OF A REQUEST FOR A HEARING AND REVIEW OF A DECISION
OF A HEARING PANEL OF THE INVESTMENT INDUSTRY REGULATORY
ORGANIZATION OF CANADA**

- and -

**IN THE MATTER OF THE RULES OF INVESTMENT INDUSTRY REGULATORY
ORGANIZATION OF CANADA**

- and -

IN THE MATTER OF JULIAN ROBERT RICCI

**NOTICE OF HEARING
(Section 21.7 of the *Securities Act*)**

TAKE NOTICE THAT the Ontario Securities Commission (the “Commission”) will hold a hearing pursuant to section 21.7 of the *Securities Act*, R.S.O. 1990, c.S.5, as amended, to consider the Application made by Julian Robert Ricci for a review of the Penalty Decision of the Investment Industry Regulatory Organization of Canada dated June 9, 2014;

AND TAKE FURTHER NOTICE THAT the hearing will be held on November 24, 2014 at 10:00 a.m. or as soon thereafter as the hearing can be held at Commission’s offices at 20 Queen Street West, 17th Floor, Toronto, Ontario.

Dated at Toronto this 24th day of October, 2014

“*Josée Turcotte*”

Josée Turcotte
Acting Secretary to the Commission