### IN THE MATTER OF THE SECURITIES ACT R.S.O. 1990, c. S.5, AS AMENDED

- and -

# IN THE MATTER OF A REQUEST FOR A HEARING AND REVIEW OF A DECISION OF A HEARING PANEL OF THE INVESTMENT INDUSTRY REGULATORY ORGANIZATION OF CANADA

- and -

### IN THE MATTER OF THE RULES OF THE INVESTMENT INDUSTRY REGULATORY ORGANIZATION OF CANADA

#### **BETWEEN**

#### **BRYAN ANDREW VICKERS**

- and -

### STAFF OF THE INVESTMENT INDUSTRY REGULATORY ORGANIZATION OF CANADA

## NOTICE OF HEARING (Section 21.7 of the Securities Act)

**TAKE NOTICE THAT** the Ontario Securities Commission (the "Commission") will hold a hearing pursuant to section 21.7 of the *Securities Act*, R.S.O. 1990, c S-5, as amended, to consider the Application made by Bryan Andrew Vickers for a review of the Sanctions Decision of the Investment Industry Regulatory Organization of Canada dated June 19, 2014;

**AND TAKE FURTHER NOTICE THAT** the hearing will be held on December 16, 2014 at 10:00 a.m. or as soon thereafter as the hearing can be held at the Commission's offices located at 20 Queen Street West, 17<sup>th</sup> Floor, Toronto, Ontario.

Dated at Toronto this 31st day of October, 2014

"Josée Turcotte"	
Josée Turcotte Acting Secretary to the Commission	<u> </u>