



Ontario  
Securities  
Commission

Commission des  
valeurs mobilières  
de l'Ontario

22<sup>nd</sup> Floor  
20 Queen Street West  
Toronto ON M5H 3S8

22e étage  
20, rue queen ouest  
Toronto ON M5H 3S8

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**IN THE MATTER OF  
THE *SECURITIES ACT*, RSO 1990, c S.5**

**- and -**

**IN THE MATTER OF  
RTG DIRECT TRADING GROUP LTD. and  
RTG DIRECT TRADING LIMITED**

**NOTICE OF HEARING  
(Subsections 127(1) and 127(10) of the *Securities Act*)**

**TAKE NOTICE THAT** the Ontario Securities Commission (the “Commission”) will hold a hearing pursuant to subsections 127(1) and 127(10) of the *Securities Act*, RSO 1990, c S.5 (the “Act”), at the offices of the Commission, 20 Queen Street West, 17<sup>th</sup> Floor, commencing on September 27, 2016 at 11:00 a.m., or as soon thereafter as the hearing can be held;

**TO CONSIDER** whether, pursuant to subsection 127(1) and paragraph 4 of subsection 127(10) of the Act, it is in the public interest for the Commission to make an order:

1. against RTG Direct Trading Group Ltd. (“RTG Group Ltd.”) that:
  - a. trading in any securities or derivatives by RTG Group Ltd. cease permanently, pursuant to paragraph 2 of subsection 127(1) of the Act;
  - b. trading in any securities of RTG Group Ltd. cease permanently, pursuant to paragraph 2 of subsection 127(1) of the Act;

- c. the acquisition of any securities by RTG Group Ltd. be prohibited permanently, pursuant to paragraph 2.1 of subsection 127(1) of the Act;
  - d. any exemptions contained in Ontario securities law do not apply to RTG Group Ltd. permanently, pursuant to paragraph 3 of subsection 127(1) of the Act; and
2. against RTG Direct Trading Limited (“RTG Limited”) that:
- a. trading in any securities or derivatives by RTG Limited cease permanently, pursuant to paragraph 2 of subsection 127(1) of the Act;
  - b. trading in any securities of RTG Limited cease permanently, pursuant to paragraph 2 of subsection 127(1) of the Act;
  - c. the acquisition of any securities by RTG Limited be prohibited permanently, pursuant to paragraph 2.1 of subsection 127(1) of the Act;
  - d. any exemptions contained in Ontario securities law do not apply to RTG Limited permanently, pursuant to paragraph 3 of subsection 127(1) of the Act; and
3. such other order or orders as the Commission considers appropriate.

**BY REASON** of the allegations set out in the Statement of Allegations of staff of the Commission (“Staff”) dated August 29, 2016, and by reason of an order of the Financial and Consumer Affairs Authority of Saskatchewan dated April 28, 2016, and such additional allegations as counsel may advise and the Commission may permit;

**AND TAKE FURTHER NOTICE** that at the hearing on September 27, 2016 at 11:00 a.m., Staff will bring an application to proceed with the matter by written hearing, in accordance with Rule 11 of the Commission’s *Rules of Procedure* (2014), 37 OSCB 4168 and section 5.1 of the *Statutory Powers Procedure Act*, RSO 1990, c S.22, and any party to the proceeding may make submissions in respect of the application to proceed by written hearing;

**AND TAKE FURTHER NOTICE** that any party to the proceeding may be represented by a representative at the hearing;

**AND TAKE FURTHER NOTICE** that upon failure of any party to attend at the time and place aforesaid, the hearing may proceed in the absence of the party and such party is not entitled to any further notice of the proceeding;

**AND TAKE FURTHER NOTICE** that the Notice of Hearing is also available in French on request, participation may be in either French or English and participants must notify the Secretary's Office in writing as soon as possible, and in any event, at least thirty (30) days before a hearing if the participant is requesting a proceeding to be conducted wholly or partly in French; and

**ET AVIS EST ÉGALEMENT DONNÉ PAR LA PRÉSENTE** que l'avis d'audience est disponible en français sur demande, que la participation à l'audience peut se faire en français ou en anglais et que les participants doivent aviser le Bureau du secrétaire par écrit le plus tôt possible et, dans tous les cas, au moins trente (30) jours avant l'audience si le participant demande qu'une instance soit tenue entièrement ou partiellement en français.

**DATED** at Toronto this 30<sup>th</sup> day of August, 2016.

*“Robert Blair”*

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Robert Blair  
Acting Secretary to the Commission