



Ontario
Securities
Commission

Commission des
valeurs mobilières
de l'Ontario

22nd Floor
20 Queen Street West
Toronto ON M5H 3S8

22e étage
20, rue queen ouest
Toronto ON M5H 3S8

**IN THE MATTER OF THE *SECURITIES ACT*,
RSO 1990, c S.5**

- AND -

**IN THE MATTER OF A REQUEST FOR A HEARING AND REVIEW OF THE
DECISION OF A HEARING PANEL OF THE INVESTMENT INDUSTRY
REGULATORY ORGANIZATION OF CANADA**

- AND -

IN THE MATTER OF KRISHNA SAMMY

NOTICE OF HEARING

TAKE NOTICE THAT the Ontario Securities Commission (the “Commission”) will hold a hearing pursuant to the *Securities Act*, RSO 1990, c S.5 at the offices of the Commission at 20 Queen Street West, 17th Floor, on December 15, 2016 at 11:30 a.m., or as soon thereafter as the hearing can be held;

AND TAKE FURTHER NOTICE that the purpose of the hearing is to consider a request made by Krishna Sammy for a further decision and an order varying or setting aside the order of the Commission dated October 28, 2016, which dismissed his Application for a Hearing and Review of a decision of the Hearing Panel of the Investment Industry Regulatory Organization of Canada dated January 22, 2016;

AND TAKE FURTHER NOTICE that any party to the proceeding may be represented by a representative at the hearing;

AND TAKE FURTHER NOTICE that upon failure of any party to attend at the time and place aforesaid, the hearing may proceed in the absence of the party and such party is not entitled to any further notice of the proceeding;

AND TAKE FURTHER NOTICE that the Notice of Hearing is also available in French on request, participation may be in either French or English and participants must notify the Secretary's Office in writing as soon as possible, and in any event, at least thirty (30) days before a hearing if the participant is requesting a proceeding to be conducted wholly or partly in French; and

ET AVIS EST ÉGALEMENT DONNÉ PAR LA PRÉSENTE que l'avis d'audience est disponible en français sur demande, que la participation à l'audience peut se faire en français ou en anglais et que les participants doivent aviser le Bureau du secrétaire par écrit le plus tôt possible et, dans tous les cas, au moins trente (30) jours avant l'audience si le participant demande qu'une instance soit tenue entièrement ou partiellement en français.

DATED at Toronto this 12th day of December, 2016.

“Grace Knakowski”

Grace Knakowski
Secretary to the Commission