



Ontario
Securities
Commission
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Commission des
valeurs mobilières
de l'Ontario

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**IN THE MATTER OF THE *SECURITIES ACT*,
R.S.O. 1990, c. S.5 AS AMENDED**

- and -

**IN THE MATTER OF AN APPLICATION FOR A HEARING AND REVIEW OF
A DECISION OF THE ONTARIO COUNCIL OF THE INVESTMENT
INDUSTRY REGULATORY ORGANIZATION OF CANADA, PURSUANT TO
SECTION 21.7 OF THE *SECURITIES ACT*, R.S.O. 1990, c. S.5, AS AMENDED**

- and -

**IN THE MATTER OF DISCIPLINE PROCEEDINGS PURSUANT TO THE BY-
LAWS OF THE INVESTMENT DEALERS ASSOCIATION OF CANADA AND
THE DEALER MEMBER RULES OF THE INVESTMENT INDUSTRY
REGULATORY ORGANIZATION OF CANADA**

**BETWEEN
STAFF OF THE INVESTMENT INDUSTRY
REGULATORY ORGANIZATION OF CANADA**

- and -

ROGER CARL SCHOER

**ORDER
(Section 127)**

WHEREAS on July 24, 2012, the Ontario Securities Commission (the “Commission”) issued a Notice of Hearing pursuant to sections 127 and 127.1 of the *Securities Act*, R.S.O. 1990, c. S.5, as amended (the “Act”) to hold a hearing pursuant to section 21.7 of the Act to consider the application made by Roger Carl Schoer (the “Applicant”) for a review of a decision of the Investment Industry Regulatory Organization of Canada (“IIROC”) made May 26, 2011 (the “Application”);

AND WHEREAS the Application was scheduled to be heard by the Commission on September 18, 2012 at 10:00 a.m.;

AND WHEREAS on September 16, 2012, the Application was adjourned on consent to November 16, 2012, for the purpose of allowing the Applicant to retain counsel;

AND WHEREAS on November 16, 2012, Staff of the Commission, IROC Staff and the Applicant appeared before the Commission;

AND WHEREAS the Applicant requested a further adjournment of this matter for the purpose of retaining counsel, IROC and Commission Staff objected to an adjournment, and all of the parties made submissions regarding the adjournment request;

AND WHEREAS the Commission considers that it is in the public interest to make this Order;

IT IS HEREBY ORDERED THAT the Application shall be adjourned on a peremptory basis to January 14, 2013 at 10:00 a.m. for the purpose of hearing the Application on the merits.

DATED at Toronto this 16th day of November, 2012.

“James E. A. Turner”

James E. A. Turner