



**IN THE MATTER OF THE *SECURITIES ACT*,  
RSO 1990, c S.5**

**- and -**

**IN THE MATTER OF  
MARK ALLEN DENNIS**

**ORDER  
(Subsections 127(1) and 127(10) of the *Securities Act*)**

**WHEREAS:**

1. on September 28, 2015, Staff ("Staff") of the Ontario Securities Commission (the "Commission") filed a Statement of Allegations, in which Staff sought an order against Mark Allen Dennis ("Dennis") pursuant to subsection 127(1) of the *Securities Act* (the "Act");
2. on September 30, 2015, the Commission issued a Notice of Hearing in respect of that Statement of Allegations, setting October 27, 2015, as the date of the hearing;
3. at the hearing on October 27, 2015, the Commission issued an order continuing the proceeding by way of a written hearing;
4. Dennis was convicted of offences arising from transactions related to securities;
5. the Commission is of the opinion that it is in the public interest to make this order;

**IT IS HEREBY ORDERED** against Dennis that:

1. pursuant to paragraph 2 of subsection 127(1) of the Act, trading in any securities or derivatives by Dennis cease permanently;
2. pursuant to paragraph 2.1 of subsection 127(1) of the Act, acquisition of any securities by Dennis be prohibited permanently;
3. pursuant to paragraph 3 of subsection 127(1) of the Act, any exemptions contained in Ontario securities law shall not apply to Dennis permanently;
4. pursuant to paragraphs 7, 8.1 and 8.3 of subsection 127(1) of the Act, Dennis resign any positions that he holds as director or officer of any issuer, registrant or investment fund manager;
5. pursuant to paragraphs 8, 8.2 and 8.4 of subsection 127(1) of the Act, Dennis be prohibited permanently from becoming or acting as an officer or director of any issuer, registrant or investment fund manager; and
6. pursuant to paragraph 8.5 of subsection 127(1) of the Act, Dennis be prohibited permanently from becoming or acting as a registrant, as an investment fund manager or as a promoter.

**DATED** at Toronto this 3<sup>rd</sup> day of May, 2016.

*"Timothy Moseley"*

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Timothy Moseley