



Ontario  
Securities  
Commission

Commission des  
valeurs mobilières  
de l'Ontario

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**IN THE MATTER OF  
NELSON PETER BRADBURY**

Timothy Moseley, Chair of the Panel

June 12, 2017

**ORDER  
(Subsections 127(1) and 127(10) of the *Securities Act*, RSO 1990, c S.5)**

WHEREAS on June 12, 2017, the Ontario Securities Commission (the **Commission**) held a hearing at the offices of the Commission, located at 20 Queen Street West, 17<sup>th</sup> Floor, Toronto, Ontario, in relation to an application by Staff of the Commission (**Staff**) for an order imposing sanctions pursuant to subsections 127(1) and 127(10) of the *Securities Act*, RSO 1990, c S.5 (the **Act**);

ON READING the findings of the Alberta Securities Commission (the **ASC**) dated November 8, 2016 (the **ASC Order**), in the matter of Nelson Peter Bradbury (**Bradbury**), and a Statement of Admissions and Joint Submission on Sanction between Bradbury and ASC Staff dated September 8, 2016;

AND ON READING a hearing brief filed by Staff, and on hearing the submissions of the representative for Staff, appearing in person; and considering a Consent from Bradbury, through his counsel, consenting to the making of this Order;

IT IS ORDERED:

1. against Bradbury that:
  - a. pursuant to paragraph 2 of subsection 127(1) of the Act, trading in any securities or derivatives by Bradbury cease permanently, except that this order does not preclude him from trading in securities or derivatives through a registrant (who has first been given a copy of

the ASC Order, and a copy of this Order) in accounts maintained with that registrant for the benefit of one or more of himself and members of his immediate family;

- b. pursuant to paragraph 2.1 of subsection 127(1) of the Act, the acquisition of securities by Bradbury cease permanently, except that this order does not preclude him from purchasing securities through a registrant (who has first been given a copy of the ASC Order, and a copy of this Order) in accounts maintained with that registrant for the benefit of one or more of himself and members of his immediate family;
- c. pursuant to paragraph 3 of subsection 127(1) of the Act, any exemptions contained in Ontario securities law do not apply to Bradbury permanently;
- d. pursuant to paragraphs 7, 8.1 and 8.3 of subsection 127(1) of the Act, Bradbury resign any positions that he holds as a director or officer of any issuer, registrant, or investment fund manager;
- e. pursuant to paragraphs 8, 8.2 and 8.4 of subsection 127(1) of the Act, Bradbury be prohibited permanently from becoming or acting as a director or officer of any issuer, registrant or investment fund manager; and
- f. pursuant to paragraph 8.5 of subsection 127(1) of the Act, Bradbury be prohibited permanently from becoming or acting as a registrant, investment fund manager or promoter.

*"Timothy Moseley"*

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Timothy Moseley