



Ontario
Securities
Commission

Commission des
valeurs mobilières
de l'Ontario

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20 Queen Street West
Toronto ON M5H 3S8

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Toronto ON M5H 3S8

**IN THE MATTER OF
SITAL SINGH DILLON**

Mark J. Sandler, Commissioner and Chair of the Panel
Deborah Leckman, Commissioner
AnneMarie Ryan, Commissioner

November 1, 2017

ORDER

Subsection 8(3) of the
Securities Act, RSO 1990, c S.5

WHEREAS on November 1, 2017, the Ontario Securities Commission (the **Commission**) held a hearing at the offices of the Commission, located at 20 Queen Street West, 17th Floor, Toronto, Ontario, in relation to an application by Sital Singh Dhillon to set aside a decision of a Director dated July 31, 2017 and to approve the registration of Mr. Dhillon as a mutual fund dealing representative.

ON HEARING the submissions of Mr. Dhillon and the representative for Staff of the Commission (**Staff**), all appearing in person, including that: (a) Staff does not oppose proceeding with the hearing and review notwithstanding that the firm sponsoring Mr. Dhillon's application for registration has withdrawn its status; (b) Staff will not be filing any new evidence with respect to Mr. Dhillon's registration; and (c) Mr. Dhillon acknowledges that if he is successful in setting aside the Director's decision, the Commission will not be in a position to approve his registration at the hearing;

IT IS ORDERED THAT:

1. Staff shall serve and file the record of the proceeding on behalf of Mr. Dhillon and any statement of fact and law by December 4, 2017;
2. Mr. Dhillon shall serve and file any record in response, which is to include any documents relied upon by Mr. Dhillon not already contained in the record of the proceeding filed by Staff, any statement of fact and law, which is to contain any written argument he wishes to make in addition to the argument already contained in his application, and the names of any witnesses (including himself), if any, he wishes to call at the hearing together with a summary of what he expects them to say, by January 4, 2018;
3. Staff shall serve and file a response, if any, to Mr. Dhillon's statement of fact and law by January 18, 2018; and
4. the hearing and review will commence on February 7, 2018 at the offices of the Commission, located at 20 Queen Street West, 17th Floor, Toronto, Ontario,

beginning at 12 noon, and will continue on February 8, 2018 beginning at 10:00 a.m.

"Mark J. Sandler"

Mark J. Sandler

"Deborah Leckman"

Deborah Leckman

"AnneMarie Ryan"

AnneMarie Ryan