



Ontario
Securities
Commission

Commission des
valeurs mobilières
de l'Ontario

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FILE NO.: 2018-4

**IN THE MATTER OF
MACQUARIE CAPITAL MARKETS CANADA LTD., FORMERLY KNOWN AS
YORKTON SECURITIES INC.**

Robert P. Hutchison, Commissioner

March 19, 2018

ORDER

(Subsections 144(1) and (2) of the *Securities Act*, RSO 1990, c S.5)

WHEREAS the Ontario Securities Commission held a hearing in writing to consider an Application made by Macquarie Capital Markets Canada Ltd. (**Macquarie**), formerly known as Yorkton Securities Inc., to vary the terms of an Order issued by the Commission on December 19, 2001 (the **Order**) relating to the Settlement Agreement dated December 14, 2001 between Staff of the Commission and Yorkton Securities Inc.;

ON READING the materials filed by Macquarie, including the affidavit of Paula Hewitt sworn January 31, 2018 and correspondence from Macquarie dated February 6, 16 and March 2, 2018 and on reading the correspondence from Staff of the Commission dated February 6 and March 2, 2018, indicating that Staff consents to the order sought;

IT IS ORDERED THAT:

1. pursuant to subsection 144(1) of the Act, the Order is varied by removing the terms and conditions in paragraph 5 of the Order; and
2. pursuant to subsection 144(2) of the Act, Macquarie shall adopt new policies and procedures in accordance with applicable Ontario securities laws, including the Investment Industry Regulatory Organization of Canada (**IIROC**) Dealer Member Rules and Universal Market Integrity Rules to deal with the supervision of the accounts of officers and employees of Macquarie that will be transferred from Macquarie to, or otherwise established at, other IIROC dealers, and Macquarie shall confirm in writing to Staff of the Commission within 90 days of the date of this order that these new policies and procedures have been finalized and implemented.

"Robert P. Hutchison"

Robert P. Hutchison