



Ontario
Securities
Commission

Commission des
valeurs mobilières
de l'Ontario

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FILE NO.: 2017-37 and 2018-10

**IN THE MATTER OF
BRIAN MICHAEL SUTTON**

- AND -

**IN THE MATTER OF
INVESTMENT INDUSTRY REGULATORY ORGANIZATION OF CANADA**

Timothy Moseley, Vice-Chair and Chair of the Panel

April 2, 2018

ORDER

Sections 8 and 21.7 of the
Securities Act, RSO 1990, c S.5

WHEREAS on March 29, 2018, the Ontario Securities Commission (**Commission**) held a hearing in writing in relation to an application by Brian Michael Sutton (**Sutton**) filed on March 5, 2018 to review decisions of the Investment Industry Regulatory Organization of Canada (**IIROC**) dated July 5, 2017 and January 31, 2018 (the **Sutton Application**), and in relation to an application filed by IIROC to review a decision January 31, 2018 (the **IIROC Application**);

ON READING the Sutton Application, the IIROC Application, and the correspondence of Sutton, IIROC, and Staff of the Commission (**Staff**); including the parties' consent to hearing the Sutton Application and the IIROC Application together;

IT IS ORDERED THAT:

1. IIROC and Sutton shall ensure that the record of the original proceeding is filed no later than April 27, 2018;
2. Sutton shall serve and file submissions on the Sutton Application and IIROC shall serve and file submissions on the IIROC Application no later than May 18, 2018;
3. Sutton shall serve and file responding submissions on the IIROC Application and IIROC shall serve and file responding submissions on the Sutton Application no later than June 11, 2018;

4. Staff shall file and serve submissions by June 20, 2018;
5. The April 5, 2018 hearing date is vacated;
6. Pursuant to subsection 9.1(1)(b) of the Statutory Powers and Procedure Act, RSO 1990, c S.22, the Sutton Application and the IIROC Application will be heard at the same time; and
7. The hearing of both applications will be held on June 28, 2018, commencing at 10:00 a.m. or on such other dates or times as may be agreed to by the parties and set by the Office of the Secretary.

"Timothy Moseley"

Timothy Moseley