



Ontario  
Securities  
Commission

Commission des  
valeurs mobilières  
de l'Ontario

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**FILE NO.:** 2018-36

**IN THE MATTER OF  
CALDWELL INVESTMENT MANAGEMENT LTD.**

D. Grant Vingoe, Vice-Chair and Chair of the Panel

October 12, 2018

**ORDER**

WHEREAS on September 27, 2018, the Ontario Securities Commission (**Commission**) held a hearing at the offices of the Commission, located at 20 Queen Street West, 17th Floor, Toronto, Ontario in relation to a disclosure motion filed by Caldwell Investment Management Ltd. (**Caldwell**);

ON READING the motion materials filed by Caldwell and Staff of the Commission (**Staff**) and on hearing the submissions of Caldwell and the representatives for Staff, and upon reviewing the confidential binder of documents provided to the Panel by Staff (**Disclosure Binder**);

IT IS ORDERED THAT Staff shall disclose to Caldwell certain information contained in Tab 24 of the Disclosure Binder, as described in the Reasons and Decision in this matter of the same date at paragraph 39 and the balance of the motion is dismissed.

*"D. Grant Vingoe"*

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