



Ontario  
Securities  
Commission

Commission des  
valeurs mobilières  
de l'Ontario

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20 Queen Street West  
Toronto ON M5H 3S8

22e étage  
20, rue queen ouest  
Toronto ON M5H 3S8

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**FILE NO.:** 2018-36

**IN THE MATTER OF  
CALDWELL INVESTMENT MANAGEMENT LTD.**

Robert P. Hutchison, Commissioner and Chair of the Panel

December 17, 2018

**ORDER**

WHEREAS on December 17, 2018, the Ontario Securities Commission (the **Commission**) held a hearing at the offices of the Commission, located at 20 Queen Street West, 17th Floor, Toronto, Ontario;

ON HEARING the submissions of the representatives for Staff of the Commission (**Staff**) and for Caldwell Investment Management Ltd. (the **Respondent**);

IT IS ORDERED THAT:

1. Staff shall serve on the Respondent any expert reports no later than February 15, 2019;
2. the Respondent shall serve on Staff any expert reports in response no later than April 12, 2019;
3. Staff shall serve any expert reports in reply no later than May 24, 2019;
4. each party shall serve the other party with a hearing brief containing copies of the documents, and identifying the other things, that the party intends to produce or enter as evidence at the merits hearing no later than May 30, 2019;
5. each party shall provide to the Registrar a copy of an index to the party's hearing brief no later than June 6, 2019;
6. an attendance is scheduled for June 13, 2019 at 10:00 a.m. or such other dates and times as provided by the Office of the Secretary and agreed to by the parties;
7. each party shall provide to the Registrar the electronic documents that the party intends to rely on or enter into evidence at the merits hearing, along with an index file, in accordance with the *Protocol for E-hearings*, no later than June 28, 2019; and

8. the merits hearing shall commence on July 8, 2019 at 10:00 a.m. and continue on July 9, 11, 12, 15, 16, 18, 19, 29 and 30, and August 1, 2, 7, 8, 9, 12, 15, 16, 19, 20, 22, 23, 27 and 28, 2019, or such other dates and times as provided by the Office of the Secretary and agreed to by the parties.

*"Robert P. Hutchison"*

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Robert P. Hutchison