



Ontario
Securities
Commission

Commission des
valeurs mobilières
de l'Ontario

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**IN THE MATTER OF
ALY BABU HUSEIN MAWJI**

Heather Zordel, Commissioner and Chair of the Panel

File No. 2019-38

December 3, 2019

ORDER

(Subsections 127(1) and 127(10) of the
Securities Act, RSO 1990, c S.5)

WHEREAS the Ontario Securities Commission (the **Commission**) held a hearing in writing to consider whether it is in the public interest to make an order against Aly Babu Husein Mawji (**Mawji**) pursuant to subsections 127(1) and 127(10) of the *Securities Act*, RSO 1990, c S.5 (the **Act**), as requested in the Statement of Allegations filed by Staff of the Commission (**Staff**) on October 10, 2019;

ON READING the materials filed by Staff, including the Decision of the Stuttgart (Germany) District Court dated October 12, 2012 and the Decision of the German Federal Court of Justice dated December 4, 2013, and Mawji not having filed any materials, although properly served as indicated the Affidavit of Service of Julia Ho sworn on October 29, 2019;

IT IS ORDERED THAT:

1. pursuant to the Act s.127(1)2, trading in any securities or derivatives by Mawji cease permanently;
2. pursuant to the Act s.127(1)2.1, acquisition of any securities by Mawji be prohibited permanently;
3. pursuant to the Act s.127(1)3, any exemptions contained in Ontario securities law do not apply to Mawji permanently;
4. pursuant to the Act s.127(1)7 and s.127(1)8.1, Mawji resign any positions that he holds as a director or officer of any issuer or registrant;
5. pursuant to the Act s.127(1)8 and s.127(1)8.2, Mawji be prohibited permanently from becoming or acting as a director or officer of any issuer or registrant; and

6. pursuant to the Act s.127(1)8.5, Mawji be prohibited permanently from becoming or acting as a registrant or promoter.

"Heather Zordel"

Heather Zordel